



2016 WSFR Fiscal Officers Meeting **Financial Assistance Policy and Oversight**



<https://inside.fws.gov/go/post/FAPO-news>

April 7, 2016



What does FAPO's work plan look like for the next 12 months?

Work Plan through FY16 End



Current high priority activities:

- 2 CFR 1403, FAIR comments
- Hire new Grants Management Specialist
- Issue FA staff inventory data call
- Conduct A-123 ICR on grants
- Issue final FAPIIS guidance, including system access instructions
- Draft and distribute policies for Service comment on:
 - Amendments
 - Award suspension and termination (FAPIIS)
 - Recipient real property reporting (SF-429 & 2 CFR 200.329)
- Update 516 FW 1 and FW 2 (pending DOI reporting policies)

Work Plan through FY16 End (cont.)



Ongoing/Future activities:

- FA Health Metrics monitoring, emphasis on closeouts!
- Annual DOI-PAM Targeted Review (merit reviews)
- GAO Inquiry on Merit Reviews response/support
- Participate in DOI FAMP meetings/discussions
- Respond to DOI data calls/draft policies
- Support development of DOI Consolidated Records Schedule
- Co-host with FAS 2 more FA conference calls (May 11 & Aug 10)
- Guest instruct at 2 more BFAs
- Annual new award guidance/templates update

FY17 Work Plan



Tentative FY17 Activities:

- Clarify award terms & conditions applicable to 638 and non-638 tribal awards
- Deliver guidance & checklist/templates for:
 - Merit Reviews
 - Amendments
 - Closeouts
 - 2 CFR 200.301 Performance measurement requirements
- Address Service OMB ICC compliance issues
- Address Service FA records, file plan & content, & electronic recordkeeping needs
- Explore interest in a Service-specific COI form



Is anything coming down the road that is not necessarily on your 12 month work plan but that may impact us?

Future Impactful Events



Currently identified:

- DOI move to a Consolidated Records Schedule
- DOI to require electronic recordkeeping for financial assistance
- Corrective action plan to address Service failures to meet merit review requirements (discretionary awards)
- Changes to PRISM Milestone Plan functionality or transition to external solution
- 11 new DOI policies planned; more being discussed
- DATA Act roll out
- CFDA name change & website update



The DATA Act amended FFATA -
what impact will this have on us
or our recipient?

DATA Act Impacts



- Requires agency data to be reported, validated, and linked to awards in a way that has never been done before
- Data standards have been established
- DOI compliance being led by DOI, FBMS BIO, and bureau leadership
- DOI responsible for ensuring data can be sent to USASpending.gov
- Direct impacts on Federal program staff and recipients appear to be minimal (in regards to current processes)
- For more information, go to <http://fedspendingtransparency.github.io/about/>.



Where are we on FAPIIS
implementation?

FAPIIS Implementation Status



- FAPO and FAS to meet with CFM-HQ to discuss CPARS & PIPRS user management/access (mid-April)
- DOI FAPIIS system lead setting up new FA user group(s)
- DOI has agreed to issue FAPIIS implementation & award suspension/termination policy & procedures this FY
- FAPO expects to implement FAPIIS review and reporting no earlier than start of FY17
- For more information, go to the Federal Awardee Performance & Integrity Information System website at <https://www.fapiis.gov/fapiis/index.action>.



What impacts will the GONE Act
have on current processes?

GONE Act Impacts



- FAPO gave a presentation on this topic on last FA Quarterly Call; to access recording and slide deck, go to <https://inside.fws.gov/index.cfm/go/post/FAPO-Recorded-Meetings>
- Immediate impact: increased monitoring of compliance with Service closeout policy
 - In April, FAPO staff will start contacting GS/AGOs directly regarding the oldest awards pending closeout (most out of compliance)
- For more info on the GONE Act, go to <https://www.govtrack.us/congress/bills/114/s1115>
- For info on other pending closeout-related legislation, go to <https://www.govtrack.us/congress/bills/114/hr598>



SAM Exclusions check: How are we defining "key individuals"?
Must recipients also check SAM Exclusions for subrecipients/contractors?

SAM Exclusions Checks



- DOI-AAAP-0039, Pre-award Eligibility Screening Requirements, requires at a minimum to check:
 - Entity receiving the award
 - Named individuals on the award (e.g., authorized representative/SF424 signatory, principal investigator, or other key project personnel)
- FAPO has previously advised WSFR that Federal check does not need to extend to key personnel on subawards identified in proposal (recipient responsibility)
- 2 CFR 180: Establishes SAM Exclusion check requirements for recipients & subrecipients/contractors
 - Excluded persons/entities may not participate in, or act as a principal for another participant, in a covered Federal transaction



SAM screen prints show ET instead of end user local time. Will this be an issue for A-123 reviews or audits?

SAM.GOV Eastern Time Stamp



- Not an issue
 - It is due to location of SAM.gov server
 - We will convert to local time for testing/reviews
 - We have notified DOI



Any expected changes to the FWS reporting policy now that DOI has ASAP at 120 days?

ASAP Change Impact on Policy



- ASAP end date changes have no impact on Service reporting policies
- ASAP end date changes help us comply/monitor compliance with Service policy
- ASAP cutoff at 120 days is arbitrary, and is expected to be changed to 90 days in the future
- FAS will address ASAP end date changes and financial reporting due date extension requirements/restrictions



Comment: We get multiple FAPO Listserv messages a month. A quarterly consolidated list of changes would be helpful to make sure folks aren't missing updates.

FAPO Listserv Message Archive



- If you are on our Listserv, you will receive all messages sent to the Listserv
- To find them in your inbox, search for “grantcoopnews”
- We will post and update quarterly an annual archive on InsideFWS

Listserv

Subscribe to our Listserv to receive emails from the Service's FAPO branch containing news and other information relevant to Service grants and cooperative agreements. Click on the button below to subscribe.

Subscribe to GrantCoopNews Listserv

An archive of all FY16 communications from the FAPO listserv is available below. It is updated and reposted at the end of each FY quarter.

[FY16 FAPO Listserv Communications Archive](#)

Questions?

